UNIVERSITY of VIRGINIA
Office of Compliance

UVA Compliance Matrix

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Compliance Regulation	Category	Academic Contact	Academic Department	Medical Center Contact	Medical Center Department	Wise Contact	Wise Department
1099 Reporting	Finance & Business Operations	Mark Cartwright	Procurement	Sami Kaur	Finance	Kristy Robertson	Wise Financial Administration
Academic Compliance (SCHEV)	Governance	Alena Herklotz	Academic Affairs	N/A	N/A	Sabrina Qureshi	Wise Academic Affairs
ADA/Section 504	Governance	Barb Zunder	Equal Opportunity & Civil Rights	Barb Zunder	Equal Opportunity & Civil Rights	Whitney Wells	Wise TRiO Student Support Services
Animal Use in Research	Research Administration	Kelly Hochstetler	Research	N/A	N/A	Walter Smith	Wise Academic Affairs
ARMICS	Finance & Business Operations	JJ Sullivan	Finance	Melody Norback	Finance	Kristy Robertson	Wise Financial Administration
Artificial Intelligence	Information Resource Management	Dave Strite	IT	N/A	N/A	N/A	N/A
Background Checks and Disclosure of Criminal Convictions (Employees)	Human Resource Management	Tim White	UVA HR	Tim White	UVA HR	Stephanie Perry	Wise HR
Banking Regulations	Finance & Business Operations	Julie Richardson	Treasury Management	N/A	N/A	Kristy Robertson	Wise Financial Administration
Bayh-Dole	Research Administration	Rob Merhige	Research	N/A	N/A	Rob Merhige	Research
Bonds	Finance & Business Operations	Julie Richardson	Treasury Management	N/A	N/A	Julie Richardson	Treasury Management
Cardinal	Finance & Business Operations	Liz Vlahos	Finance	N/A	N/A	Kristy Robertson	Wise Financial Administration
Child Abuse and Neglect Reporting	Safety, Security & Environmental	Meghan Rapp	Clery Compliance	Kathy Baker	Chief Nursing Officer	Tabitha Smith	Wise Advocacy and Opportunity
Child Care State Regulations	Safety, Security & Environmental	Adam Weikel	UVA HR	Adam Weikel	UVA HR	N/A	N/A
Classified Research	Research Administration	Rob Jones	Research	N/A	N/A	N/A	N/A
Clery Act	Safety, Security & Environmental	Meghan Rapp	Clery Compliance	N/A	N/A	Haley Kiser	Wise Advocacy and Opportunity
CLIA (Clinical Laboratory Improvement Amendments)	Medical Center	N/A	N/A	Jennifer De Arment	Patient Care Services	N/A	N/A
Conflicts of Interest (HR)	Human Resource Management	Jennifer Martin	UVA HR	Jennifer Martin	UVA HR	Stephanie Perry	Wise HR
Conflicts of Interest (Procurement)	Finance & Business Operations	JJ Sullivan	Finance	Jonathan Hohman	Procurement	Joe Kiser	Wise Finance & Operations
Conflicts of Interest and Conflicts of Commitment (Research)	Research Administration	Rob Merhige	Research	N/A	N/A	Rob Merhige	Research
Controlled Substances (Clinical Operations)	Medical Center	N/A	N/A	Danielle Griggs	Pharmacy	N/A	N/A
Controlled Substances (Research)	Research Administration	Kelly Hochstetler	Research	N/A	N/A	Kelly Hochstetler	Research
Copyright Law/Fair Use	Information Resource Management	Rob Tyler	University Counsel	Bart Ragon	Library	Katherine Franklin	Wise Library
Cost Accounting (Fringe, F&A)	Finance & Business Operations	Laura Kozma	Sponsored Programs	N/A	N/A	Laura Kozma	Sponsored Programs
Court Orders (Payroll)	Finance & Business Operations	Paul Grisdale	Payroll	Mike Marquardt	Finance	Paul Grisdale	Payroll

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Credentialing & Privileging	Medical Center	N/A	N/A	Stephanie Allen	Quality & Performance Improvement	N/A	N/A
Criminal Disclosures - Arrests and Convictions (Students)	Students & Student Programs	Marsh Pattie	Student Affairs	N/A	N/A	Tabitha Smith	Wise Student Affairs
Debt Collection (Payroll)	Finance & Business Operations	Paul Grisdale	Payroll	Mike Marquardt	Finance	Paul Grisdale	Payroll
Digital Accessibility	Governance	Brooke Thompson	Equal Opportunity & Civil Rights	N/A	N/A	Grant Baker	Wise IT
Digital Copyright	Information Resource Management	Brian Davis	InfoSec	Brian Davis	InfoSec	Grant Baker	Wise IT
Distance Learning	Students & Student Programs	Alena Herklotz	Academic Affairs	N/A	N/A	Narda Porter	Wise Academic Affairs
Drones	Safety, Security & Environmental	John DeSilva	Emergency Management	John DeSilva	Emergency Management	N/A	N/A
Drug-Free Workplace	Human Resource Management	Tim White	UVA HR	Tim White	UVA HR	Stephanie Perry	Wise HR
Drug/Alcohol Testing (Commercial Drivers Licenses)	Human Resource Management	Tim White	UVA HR	Shenia Thompson	Hospitality and Support Services	Stephanie Perry	Wise HR
Drugs and Alcohol (Students)	Students & Student Programs	Chris Holstege	Student Health	N/A	N/A	Tabitha Smith	Wise Advocacy and Opportunity
Emergency Medical Treatment and Active Labor Act (EMTALA)	Medical Center	N/A	N/A	Rebecca Hill	Quality & Performance Improvement	N/A	N/A
Environmental Compliance (DEQ/EPA)	Safety, Security & Environmental	Kristin Carter	Environmental Resources	Kristin Carter	Environmental Resources	Joe Kiser	Wise Finance & Operations
Equal Opportunity/Non-Discrimination (Employees)	Governance	Emily Springston	Equal Opportunity & Civil Rights	Emily Springston	Equal Opportunity & Civil Rights	Emily Springston	Equal Opportunity & Civil Rights
Equal Opportunity/Non-Discrimination (Students)	Governance	Emily Springston	Equal Opportunity & Civil Rights	Emily Springston	Equal Opportunity & Civil Rights	Emily Springston	Equal Opportunity & Civil Rights
Equipment Trust Fund (ETF)	Finance & Business Operations	Liz Vlahos	Finance	N/A	N/A	David Amos	Wise College Services
Export Controls and Sanction Compliance	Research Administration	Kelly Hochstetler	Research	Francis Caruccio	Facilities Planning & Construction	Kelly Hochstetler	Research
FAA Compliance and Aviation Safety	Medical Center	N/A	N/A	Kathy Baker	Chief Nursing Officer	N/A	N/A
FARS/DFARS (Research)	Research Administration	Laura Kozma	Sponsored Programs	Jonathan Hohman	Procurement	Laura Kozma	Sponsored Programs
Federal Contractors (EOE-related Compliance)	Human Resource Management	Emily Springston	Equal Opportunity & Civil Rights	Emily Springston	Equal Opportunity & Civil Rights	Emily Springston	Equal Opportunity & Civil Rights
Federal Tax Compliance (Payroll)	Finance & Business Operations	Paul Grisdale	Payroll	Mike Marquardt	Finance	Paul Grisdale	Payroll
FERPA	Students & Student Programs	Blake Jiménez-Calhoun	Registrar	N/A	N/A	Narda Porter	Wise Academic Affairs
Financial Aid	Students & Student Programs	Sabrina Whitcomb	Student Financial Services	N/A	N/A	Mason White	Wise Financial Aid
Financial Reporting Requirements (State)	Finance & Business Operations	Liz Vlahos	Finance	Sami Kaur	Finance	Kristy Robertson	Wise Financial Administration
Fire Safety	Safety, Security & Environmental	Gerald Drumheller	Safety and Security	Francis Caruccio	Facilities Planning & Construction	Beau Boggs	Wise Campus Police

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FLSA	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Stephanie Perry	Wise HR
FMLA	Human Resource Management	Erica Wheat	UVA HR	Erica Wheat	UVA HR	Rebecca Gristina	UVA HR
FOIA	Information Resource Management	Faith Hill	University Counsel	Faith Hill	University Counsel	Genna Kasun	Wise Marketing & Communications
Foreign Activity Disclosure Reporting (Institutional)	Finance & Business Operations	JJ Sullivan	Finance	N/A	N/A	JJ Sullivan	Finance
Foundations (University-Associated Organizations)	Governance	Andrew Sallans	Finance	Mary Frances Southerland	Chief Administrative Officer	Andrew Sallans	Finance
Free Speech	Governance	Gary Nimax	Audit & Compliance	N/A	N/A	N/A	N/A
GDPR	Information Resource Management	Gary Nimax	Audit & Compliance	Krista Barnes	Corporate Compliance & Privacy	Gary Nimax	Audit & Compliance
Gramm-Leach-Bliley Act (GLBA)	Information Resource Management	Brian Davis	InfoSec	Sonney Sapra	HIT	Grant Baker	Wise IT
Grievances (HR)	Human Resource Management	Tim White	UVA HR	Tim White	UVA HR	Stephanie Perry	Wise HR
Hazardous and Regulated Material Compliance (Biological)	Safety, Security & Environmental	Tom Leonard	Environmental Health & Safety	Tom Leonard	Environmental Health & Safety	Tom Leonard	Environmental Health & Safety
Hazardous and Regulated Material Compliance (Chemical)	Safety, Security & Environmental	Tom Leonard	Environmental Health & Safety	Tom Leonard	Environmental Health & Safety	Brooke Barnette	Wise Academic Affairs
Hazardous and Regulated Material Compliance (Radioactive)	Safety, Security & Environmental	Tom Leonard	Environmental Health & Safety	Allen Goode	Radiology	Tom Leonard	Environmental Health & Safety
Hazing	Students & Student Programs	Marsh Pattie	Student Affairs	N/A	N/A	Tabitha Smith	Wise Student Affairs
Health Code (Food Service)	Safety, Security & Environmental	Michael Reitman	Dining Services	Karen Gentry	Food & Nutrition	Melissa Frazier	Wise Dining Services
HIPAA (Health Plan)	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR
HIPAA (Privacy)	Medical Center	Annette Norton	Corporate Compliance & Privacy	Annette Norton	Corporate Compliance & Privacy	N/A	N/A
HIPAA (Security)	Medical Center	Brian Davis	InfoSec	Chris Baker	HIT	Grant Baker	Wise IT
House Settlement (Athletics)	Governance	Jason Baum	Athletics	N/A	N/A	N/A	N/A
Human Subjects	Research Administration	Kelly Hochstetler	Research	N/A	N/A	Kelly Hochstetler	Research
I-9/E-Verify/FARS/DFARS (HR)	Human Resource Management	Jennifer See	UVA HR	Jennifer See	UVA HR	Stephanie Perry	Wise HR
Immigration (Employees)	Human Resource Management	Tim White	UVA HR	Tim White	UVA HR	Tim White	UVA HR
Immigration (Students)	Students & Student Programs	Dan Kramer	International Studies Office	N/A	N/A	Dan Kramer	International Studies Office
Infection Prevention and Control (IPC)	Medical Center	Costi Sifri	School of Medicine	Costi Sifri	School of Medicine	N/A	N/A
Institutional Accreditation (SACS)	Governance	Alena Herklotz	Academic Affairs	N/A	N/A	Sabrina Qureshi	Wise Academic Affairs

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Medical Device Maintenance and Security	Medical Center	N/A	N/A	Patrick Healey	HIT	N/A	N/A
Medical Transportation (EMS)	Medical Center	N/A	N/A	Abdi Somo	Chief Administrative Officer	N/A	N/A
Medicare/Medicaid Billing	Medical Center	N/A	N/A	Rhonda Miller	Revenue Cycle	N/A	N/A
Missing Student Notifications	Students & Student Programs	Marsh Pattie	Student Affairs	N/A	N/A	Tabitha Smith	Wise Student Affairs
Multistate Employer (HR)	Human Resource Management	Tim White	UVA HR	Tim White	UVA HR	Tim White	UVA HR
Multistate Income Tax (Payroll)	Finance & Business Operations	Paul Grisdale	Payroll	Paul Grisdale	Payroll	Paul Grisdale	Payroll
Multistate Sales Tax	Finance & Business Operations	Logan Hobbs	Finance	Melody Norback	Finance	Logan Hobbs	Finance
NCAA Financial Aid	Students & Student Programs	Sabrina Whitcomb	Student Financial Services	N/A	N/A	Mason White	Wise Financial Aid
NCAA Regulations	Students & Student Programs	Jason Baum	Athletics	N/A	N/A	Kendall Rainey	Wise Athletics
Operational Security and Foreign Influence	Research Administration	Rob Jones	Research	Rob Jones	Research	Rob Jones	Research
Paid Parental Leave	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Francene Meade	Wise HR
Patient Rights	Medical Center	N/A	N/A	Rebecca Hill	Quality & Performance Improvement	N/A	N/A
Payroll Allocation Confirmation	Research Administration	Laura Kozma	Sponsored Programs	N/A	N/A	Laura Kozma	Sponsored Programs
PCI	Finance & Business Operations	Logan Hobbs	Finance	Sami Kaur	Finance	Kristy Robertson	Wise Financial Administration
Pharmacy Compounding and FDA Oversight	Medical Center	N/A	N/A	Danielle Griggs	Pharmacy	N/A	N/A
Pregnancy, Nursing, and Lactation-related Requirements	Human Resource Management	Nic Thompson	Equal Opportunity & Civil Rights	Nic Thompson	Equal Opportunity & Civil Rights	Stephanie Perry	Wise HR
Privacy	Information Resource Management	Gary Nimax	Audit & Compliance	Krista Barnes	Corporate Compliance & Privacy	Gary Nimax	Audit & Compliance
Procurement (Capital)	Physical Resource Management	Don Sundgren	Facilities Management	Francis Caruccio	Facilities Planning & Construction	Don Sundgren	Facilities Management
Procurement (Non-Capital)	Finance & Business Operations	Mark Cartwright	Procurement	Jonathan Hohman	Procurement	David Amos	Wise College Services
Procurement Restrictions (Federal Contractor)	Finance & Business Operations	Mark Cartwright	Procurement	Jonathan Hohman	Procurement	Mark Cartwright	Procurement
Prompt Pay Act	Finance & Business Operations	Mark Cartwright	Procurement	Mike Marquardt	Finance	Joe Kiser	Wise Finance & Operations
Records Retention	Information Resource Management	Gary Nimax	Records & Information Management	Scottie Frey	HIM	Katherine Franklin	Wise Library
Research Audits	Research Administration	Laura Kozma	Sponsored Programs	N/A	N/A	Laura Kozma	Sponsored Programs
Research Data Security	Information Resource Management	Kelly Hochstetler	Research	Kelly Hochstetler	Research	Kelly Hochstetler	Research

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Research Expenditures Accounting (Directs & Indirects)	Research Administration	Laura Kozma	Sponsored Programs	N/A	N/A	Laura Kozma	Sponsored Programs
Research Integrity	Research Administration	Kristen Schwendinger	Research	N/A	N/A	Kristen Schwendinger	Research
Restraints and Seclusion Conditions of Participation	Medical Center	N/A	N/A	Joanna Monroe	Quality & Performance Improvement	N/A	N/A
Restructuring	Governance	Lauren Posey	State Government Relations	Mary Frances Southerland	Chief Administrative Officer	Lauren Posey	State Government Relations
Safe Occupancy/Building Code	Physical Resource Management	Benjamin Hays	University Building Official	Francis Caruccio	Facilities Planning & Construction	Benjamin Hays	University Building Official
Stark/Anti-Kickback	Medical Center	N/A	N/A	Mary Frances Southerland	Chief Administrative Officer	N/A	N/A
State Health Records Privacy Act	Information Resource Management	Chris Holstege	Student Health	Scottie Frey	HIM	Johnnie Carrico	Wise Student Health Services
Student Accounts	Finance & Business Operations	Sabrina Whitcomb	Student Financial Services	N/A	N/A	Kristy Robertson	Wise Financial Administration
Tax Compliance (Foreign Nationals)	Finance & Business Operations	Logan Hobbs	Finance	Logan Hobbs	Finance	Logan Hobbs	Finance
Threat Assessment	Safety, Security & Environmental	Ed Markowski	Threat Assessment	Ed Markowski	Threat Assessment	Narda Porter	Wise Academic Affairs
Title IX (Athletics)	Governance	Armani Dawkins	Athletics	N/A	N/A	Tabitha Smith	Wise Advocacy and Opportunity
Title IX (Sex Discrimination)	Governance	Emily Springston	Equal Opportunity & Civil Rights	Emily Springston	Equal Opportunity & Civil Rights	Emily Springston	Equal Opportunity & Civil Rights
Title IX (Sexual Misconduct - Faculty, Staff, Visitors)	Governance	Molly Zlock	Equal Opportunity & Civil Rights	Molly Zlock	Equal Opportunity & Civil Rights	Tabitha Smith	Wise Advocacy and Opportunity
Title IX (Sexual Misconduct - Students)	Governance	Molly Zlock	Equal Opportunity & Civil Rights	Molly Zlock	Equal Opportunity & Civil Rights	Tabitha Smith	Wise Advocacy and Opportunity
UBIT	Finance & Business Operations	Bill Define	Finance	Sami Kaur	Finance	Bill Define	Finance
UPMIFA	Finance & Business Operations	JJ Sullivan	Finance	Sami Kaur	Finance	JJ Sullivan	Finance
USERRA	Human Resource Management	Tim White	UVA HR	Tim White	UVA HR	Tim White	UVA HR
UVA Health Plans	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR
UVA Retirement Plans	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR
Veterans - Hiring	Human Resource Management	Chris Cunningham	UVA HR	Chris Cunningham	UVA HR	Chris Cunningham	UVA HR
Veterans Benefits (Military Affiliated Students)	Students & Student Programs	Blake Jiménez-Calhoun	Registrar	N/A	N/A	Narda Porter	Wise Academic Affairs
Virginia Personnel Act	Human Resource Management	Tim White	UVA HR	Tim White	UVA HR	Tim White	UVA HR
Workers' Compensation	Human Resource Management	Erica Wheat	UVA HR	Erica Wheat	UVA HR	Rebecca Gristina	UVA HR
Workplace Flexibility (Remote Work)	Human Resource Management	Tim White	UVA HR	Tim White	UVA HR	Stephanie Perry	Wise HR

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Workplace Safety and Injury Prevention	Safety, Security & Environmental	Tom Leonard	Environmental Health & Safety	Francis Caruccio	Facilities Planning & Construction	Virginia Robinson	Wise Facilities Management
Youth Protection	Safety, Security & Environmental	Meghan Rapp	Clery Compliance	Meghan Rapp	Clery Compliance	Tabitha Smith	Wise Advocacy and Opportunity
Zoning/Land Use	Physical Resource Management	Alice Raucher	University Architect	Francis Caruccio	Facilities Planning & Construction	Alice Raucher	University Architect