

## UVA Compliance Matrix

January 1, 2023

Compliance Regulation	Category	Academic Contact	Academic Department	Medical Center Contact	Medical Center Department	Wise Contact	Wise Department
1099 Reporting	Finance & Business Operations	Alita Salley	Procurement	Kim Holdren	Finance	Kristy Robertson	Wise Financial Administration
Academic Compliance (SCHEV)	Governance	Cheryl Carroll	Academic Affairs	N/A	N/A	Sabrina Qureshi	Wise Academic Affairs
Access Control to Health System Facilities	Medical Center	N/A	N/A	Bush Bell	Hospitality and Support Services	N/A	N/A
ADA	Governance	Melvin Mallory	Equal Opportunity & Civil Rights	Kevin Fox	Facilities Management	Narda Porter	Wise Academic Affairs
Animal Use in Research	Research Administration	Dave Hudson	Research	N/A	N/A	Walter Smith	Wise Academic Affairs
ARMICS	Finance & Business Operations	Clara Tang	Financial Operations	Melody Norback	Finance	Kristy Robertson	Wise Financial Administration
Background Checks and Disclosure of Criminal Convictions (Employees)	Human Resource Management	Leslie Pierce	UVA HR	Leslie Pierce	UVA HR	Stephanie Perry	Wise HR
Banking Regulations	Finance & Business Operations	Julie Richardson	Treasury Management	N/A	N/A	Kristy Robertson	Wise Financial Administration
Bayh-Dole	Intellectual Property	Dave Hudson	Research	N/A	N/A	Dave Hudson	Research
Bonds	Finance & Business Operations	Bill Define	Financial Operations	N/A	N/A	Bill Define	Financial Operations
Breastfeeding Allowances	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Stephanie Perry	Wise HR
Cardinal, Unclaimed Property	Finance & Business Operations	Bill Define	Financial Operations	N/A	N/A	Kristy Robertson	Wise Financial Administration
Certificate of Public Need	Medical Center	N/A	N/A	Mary Anne Harkins	State and Federal Relations	N/A	N/A
Child Abuse and Neglect Reporting	Safety, Security & Environmental	Leslie Pierce	UVA HR	Leslie Pierce	UVA HR	Tabitha Smith	Wise Diversity, Equity & Inclusion
Child Care State Regulations	Safety, Security & Environmental	Melissa Clarke	Business Operations	Adam Weikel	UVA HR	N/A	N/A
Classified Research	Research Administration	Rob Jones	Research	N/A	N/A	N/A	N/A
Clery Act	Safety, Security & Environmental	Meghan Rapp	Clery Compliance	N/A	N/A	Molly Land	Wise Diversity, Equity & Inclusion
Clinical Equipment and Maintenance Reporting	Medical Center	N/A	N/A	Mike Friesen	HIT	N/A	N/A
Clinical Laboratory Improvement Amendments (CLIA)/Labs	Medical Center	N/A	N/A	Jennifer De Arment	Patient Care Services	N/A	N/A
Codified Autonomy	Medical Center	N/A	N/A	Mary Frances Southerland	Chief Administrative Officer	N/A	N/A
Compliance (OIG/Code of Conduct)	Medical Center	N/A	N/A	Annette Norton	Corporate Compliance & Privacy	N/A	N/A
Conflict of Commitment – Research	Research Administration	Kelly Hochstetler	Research	N/A	N/A	Kelly Hochstetler	Research
Conflict of Interest (Procurement)	Finance & Business Operations	Alita Salley	Procurement	Jonathan Hohman	Procurement	Joe Kiser	Wise Finance & Operations
Conflict of Interest (Research)	Research Administration	Dave Hudson	Research	N/A	N/A	Dave Hudson	Research

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January 1, 2023

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Conflict of Interest (Statement of Economic Interests)	Human Resource Management	Leslie Pierce	UVA HR	Leslie Pierce	UVA HR	Stephanie Perry	Wise HR
Controlled Substances/DEA	Medical Center	N/A	N/A	Bob Guanci	Pharmacy	N/A	N/A
Copyright Law/Fair Use	Intellectual Property	Brandon Butler	Library	Bart Ragon	Library	Katherine Bolling	Wise Library
Cost Accounting (Fringe, F&A)	Finance & Business Operations	Katie Walker	Financial Planning & Analysis	N/A	N/A	Katie Walker	Financial Planning & Analysis
Credentialing	Medical Center	N/A	N/A	Stephanie Allen	QPI	N/A	N/A
Criminal Disclosures - Arrests and Convictions (Students)	Students & Student Programs	Robyn Hadley	Dean of Students	N/A	N/A	Candice Stadler	Wise Dean of Students
Debt Collection (Accounts Receivable)	Finance & Business Operations	Bill Define	Financial Operations	Brent McGhee	Revenue Cycle	Kristy Robertson	Wise Financial Administration
Debt Collection (Payroll)	Finance & Business Operations	Paul Gridale	Payroll	Mike Marquardt	Finance	Paul Gridale	Payroll
Department of Health/Patient's Rights Issues	Medical Center	N/A	N/A	Rebecca Hill	QPI	N/A	N/A
Digital Accessibility	Governance	Lori Kressin	Academic Accessibility	N/A	N/A	Scott Bevins	Wise IT
Digital Copyright	Information Resource Management	Tim Tolson	InfoSec	Tim Tolson	InfoSec	Scott Bevins	Wise IT
Disclosure Statements (DS-2)	Research Administration	Andrew McGehee	Cost Accounting & Fixed Assets	N/A	N/A	Andrew McGehee	Cost Accounting & Fixed Assets
Distance Learning	Students & Student Programs	Cheryl Carroll	Academic Affairs	N/A	N/A	Narda Porter	Wise Academic Affairs
Drones	Safety, Security & Environmental	Dave Hudson	Research	Dave Hudson	Research	N/A	N/A
Drug-Free Workplace	Human Resource Management	Leslie Pierce	UVA HR	Leslie Pierce	UVA HR	Stephanie Perry	Wise HR
Drug/Alcohol Testing (Commercial Drivers Licenses)	Human Resource Management	Leslie Pierce	UVA HR	Bush Bell	Hospitality and Support Services	Stephanie Perry	Wise HR
Drugs and Alcohol (Students)	Students & Student Programs	Tia Mann	Student Health	N/A	N/A	Tabitha Smith	Wise Diversity, Equity & Inclusion
E-Verify/FARS/DFARS (HR)	Human Resource Management	Jennifer Weaver	UVA HR	Tim White	UVA HR	Stephanie Perry	Wise HR
EEOC/USERRA	Governance	Emily Springston	Equal Opportunity & Civil Rights	Emily Springston	Equal Opportunity & Civil Rights	Emily Springston	Equal Opportunity & Civil Rights
Effort Reporting	Research Administration	Stewart Craig	Sponsored Programs	N/A	N/A	Stewart Craig	Sponsored Programs
Emergency Medical Treatment and Active Labor Act (EMTALA)	Medical Center	N/A	N/A	Rebecca Hill	QPI	N/A	N/A
Environmental Compliance (Biological)	Safety, Security & Environmental	Tom Leonard	Environmental Health & Safety	Bush Bell	Hospitality and Support Services	N/A	N/A
Environmental Compliance (Chemical)	Safety, Security & Environmental	Tom Leonard	Environmental Health & Safety	Bush Bell	Hospitality and Support Services	Tom Leonard	Environmental Health & Safety
Environmental Compliance (DEQ/EPA)	Safety, Security & Environmental	Kristin Carter	Facilities Management	Bush Bell	Hospitality and Support Services	Joe Kiser	Wise Finance & Operations

## UVA Compliance Matrix

January 1, 2023

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Environmental Compliance (Radioactive)	Safety, Security & Environmental	Tom Leonard	Environmental Health & Safety	Allen Goode	Radiology	Tom Leonard	Environmental Health & Safety
Epidemiology/Public Health/Employee Health	Medical Center	Costi Sifri	School of Medicine	Costi Sifri	School of Medicine	N/A	N/A
Equipment Trust Fund (ETF)	Finance & Business Operations	Andrew McGehee	Financial Planning & Analysis	N/A	N/A	Elizabeth Moore	Wise College Services
Export Controls and Sanction Compliance	Finance & Business Operations	Kelly Hochstetler	Research	Bush Bell	Hospitality and Support Services	Kelly Hochstetler	Research
FAA	Medical Center	N/A	N/A	Kathy Baker	Chief Nursing Officer	N/A	N/A
FARS/DFARS (Research)	Research Administration	Stewart Craig	Sponsored Programs	Jonathan Hohman	Procurement	Stewart Craig	Sponsored Programs
FDA/Pharmacy	Medical Center	N/A	N/A	Bob Guanci	Pharmacy	N/A	N/A
FERPA	Students & Student Programs	Laura Hawthorne	Registrar	N/A	N/A	Narda Porter	Wise Academic Affairs
Financial Aid	Students & Student Programs	Sabrina Whitcomb	Student Financial Services	N/A	N/A	Becky Huffman	Wise Financial Aid
Financial Reporting Requirements (State)	Finance & Business Operations	Yvonne Metheny	Financial Operations	Doug Lischke	Finance	Kristy Robertson	Wise Financial Administration
Fire Safety	Safety, Security & Environmental	Tom Leonard	Environmental Health & Safety	Bush Bell	Hospitality and Support Services	Beau Boggs	Wise Campus Police
FLSA	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Stephanie Perry	Wise HR
FMLA	Human Resource Management	Erica Wheat	UVA HR	Erica Wheat	UVA HR	Rebecca Gristina	UVA HR
FOIA	Information Resource Management	Jennifer Mackrous	University Counsel	Jennifer Mackrous	University Counsel	Genna Kasun	Wise Marketing & Communications
Foreign Influence Assessment & Compliance	Research Administration	Kelly Hochstetler	Research	N/A	N/A	Kelly Hochstetler	Research
Foundations (University-Associated Organizations)	Governance	Andrea Devine Andrew Sallans	Advancement Finance	Mary Frances Southerland	Chief Administrative Officer	Andrea Devine Andrew Sallans	Advancement Finance
Free Speech	Governance	Gary Nimax	Audit & Compliance	N/A	N/A	N/A	N/A
GDPR	Information Resource Management	Gary Nimax	Audit & Compliance	Annette Norton	Corporate Compliance & Privacy	Gary Nimax	Audit & Compliance
Gramm-Leach-Bliley Act (GLBA)	Information Resource Management	Sabrina Whitcomb	Student Financial Services	Robin Parkin	HIT	Scott Bevins	Wise IT
Grievances (HR)	Human Resource Management	Leslie Pierce	UVA HR	Leslie Pierce	UVA HR	Stephanie Perry	Wise HR
Hazardous Waste Disposal	Safety, Security & Environmental	Tom Leonard	Environmental Health & Safety	Bush Bell	Hospitality and Support Services	Tom Leonard	Environmental Health & Safety
Hazing	Students & Student Programs	Robyn Hadley	Dean of Students	N/A	N/A	Candice Stadler	Wise Dean of Students
Health Code (Food Service)	Safety, Security & Environmental	Darryl Rudge	Dining Services	Corey DiLuciano	Food & Nutrition	Melissa Frazier	Wise Dining Services
HIPAA (Code and Transaction Sets)	Medical Center	N/A	N/A	Robin Parkin	HIT	N/A	N/A

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January 1, 2023

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HIPAA (Health Plan)	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR
HIPAA (Privacy)	Medical Center	Annette Norton	Corporate Compliance & Privacy	Annette Norton	Corporate Compliance & Privacy	N/A	N/A
HIPAA (Security)	Information Resource Management	Tim Tolson	InfoSec	Robin Parkin	HIT	Scott Bevins	Wise IT
Home Health	Medical Center	N/A	N/A	Edward (Jack) Jackson	Post-Acute Care and Therapies	N/A	N/A
Human Subjects	Research Administration	Dave Hudson	Research	N/A	N/A	Kasi Clifton	Wise Academic Affairs
Immigration (Employees)	Human Resource Management	Tim White	UVA HR	Tim White	UVA HR	Tim White	UVA HR
Immigration (Students)	Students & Student Programs	Dudley Doane	International Studies Office	N/A	N/A	Dudley Doane	International Studies Office
Institutional Accreditation (SACS)	Governance	Cheryl Carroll	Academic Affairs	N/A	N/A	Sabrina Qureshi	Wise Academic Affairs
Interpreter Services	Medical Center	N/A	N/A	Bush Bell	Hospitality and Support Services	N/A	N/A
Labor Law Postings (EO 11246)	Human Resource Management	Rachel Spraker	Equal Opportunity & Civil Rights	Adam Weikel	UVA HR	Rachel Spraker	Equal Opportunity & Civil Rights
Licensure (Facility - 5 East)	Medical Center	N/A	N/A	Susan Jackiewicz	Neuroscience & Behavioral Health	N/A	N/A
Licensure (Facility - Pharmacies)	Medical Center	N/A	N/A	Bob Guanci	Pharmacy	N/A	N/A
Licensure (Healthcare Professionals)	Medical Center	N/A	N/A	Jen Slaughter	University Counsel	N/A	N/A
Medicaid Billing	Medical Center	N/A	N/A	Brent McGhee	Revenue Cycle	N/A	N/A
Medical Transportation (EMS)	Medical Center	N/A	N/A	Nelson Figueroa	Emergency Services	N/A	N/A
Medicare Billing	Medical Center	N/A	N/A	Brent McGhee	Revenue Cycle	N/A	N/A
Medicare Conditions of Participation/The Joint Commission	Medical Center	N/A	N/A	Denise Barth	QPI	N/A	N/A
Missing Student Notifications	Students & Student Programs	Robyn Hadley	Dean of Students	N/A	N/A	Candice Stadler	Wise Dean of Students
Moving and Relocation Payments	Human Resource Management	Jennifer Weaver	UVA HR	Jennifer Weaver	UVA HR	Stephanie Perry	Wise HR
Multistate Income Tax (Payroll)	Finance & Business Operations	Paul Grisdale	Payroll	Paul Grisdale	Payroll	Paul Grisdale	Payroll
Multistate Sales Tax	Finance & Business Operations	Logan Hobbs	Financial Operations	Melody Norback	Finance	Logan Hobbs	Financial Operations
NCAA Financial Aid	Students & Student Programs	Sabrina Whitcomb	Student Financial Services	N/A	N/A	Becky Huffman	Wise Financial Aid
NCAA Regulations	Students & Student Programs	Jason Baum	Athletics	N/A	N/A	Kendall Rainey	Wise Athletics
OSHA	Safety, Security & Environmental	Tom Leonard	Environmental Health & Safety	Bush Bell	Hospitality and Support Services	J.C. Steffey	Wise Facilities Management

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January 1, 2023

Compliance Regulation	Category	Academic Contact	Academic Department	Medical Center Contact	Medical Center Department	Wise Contact	Wise Department
Paid Parental Leave	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Francene Meade	Wise HR
Patient Care	Medical Center	N/A	N/A	Reid Adams	Chief Medical Officer	N/A	N/A
PCI	Finance & Business Operations	Logan Hobbs	PCI Compliance	Kim Holdren	Finance	Kristy Robertson	Wise Financial Administration
Procurement (Capital)	Physical Resource Management	Don Sundgren	Facilities Management	Kevin Fox	Facilities Management	Don Sundgren	Facilities Management
Procurement (Non-Capital)	Finance & Business Operations	Alita Salley	Procurement	Jonathan Hohman	Procurement	Elizabeth Moore	Wise College Services
Professional Boards/Reporting	Medical Center	N/A	N/A	Jen Slaughter	University Counsel	N/A	N/A
Prompt Pay Act	Finance & Business Operations	Alita Salley	Procurement	Mike Marquardt	Finance	Joe Kiser	Wise Finance & Operations
Records Retention	Information Resource Management	Caroline Walters	Records & Information Management	Rebecca Hill	QPI	Katherine Bolling	Wise Library
Research (Audit)	Research Administration	Stewart Craig	Sponsored Programs	N/A	N/A	Stewart Craig	Sponsored Programs
Research (Integrity)	Research Administration	Dave Hudson	Research	N/A	N/A	Dave Hudson	Research
Research Data Security	Information Resource Management	Kelly Hochstetler	Research	Kelly Hochstetler	Research	Kelly Hochstetler	Research
Research Expenditures Accounting (Directs & Indirects)	Research Administration	Stewart Craig	Sponsored Programs	N/A	N/A	Stewart Craig	Sponsored Programs
Restructuring	Governance	Penny Cabaniss	Operations	Mary Frances Southerland	Chief Administrative Officer	Penny Cabaniss	Operations
Safe Occupancy/Building Code	Physical Resource Management	Benjamin Hays	University Building Official	Bush Bell	Hospitality and Support Services	Benjamin Hays	University Building Official
Selective Service	Human Resource Management	Jennifer Weaver	UVA HR	Tim White	UVA HR	Tim White	UVA HR
Service Animals	Governance	Melvin Mallory	Equal Opportunity & Civil Rights	Melvin Mallory	Equal Opportunity & Civil Rights	Whitney Wells	Wise TRiO Student Support Services
Sick Leave (Federal Grant-Funded Employees)	Human Resource Management	Rachel Spraker	Equal Opportunity & Civil Rights	Adam Weikel	UVA HR	Stephanie Perry	Wise HR
Stark/Anti-Kickback	Medical Center	N/A	N/A	Mary Frances Southerland	Chief Administrative Officer	N/A	N/A
State Health Records Privacy Act	Information Resource Management	Chris Holstege	Student Health	Scottie Frey	HIT	Johnnie Carrico	Wise Student Health Services
Student Accounts	Finance & Business Operations	Sabrina Whitcomb	Student Financial Services	N/A	N/A	Kristy Robertson	Wise Financial Administration
Surplus Property	Physical Resource Management	Glenn Shifflett	Facilities Management	Glenn Shifflett	Facilities Management	David Amos	Wise College Services
Tax Compliance (Foreign Nationals)	Finance & Business Operations	Logan Hobbs	Financial Operations	Bill Define	Financial Operations	Logan Hobbs	Financial Operations
Tax Compliance (Payroll)	Finance & Business Operations	Paul Grisdale	Payroll	Mike Marquardt	Finance	Paul Grisdale	Payroll
Telecommuting	Human Resource Management	Leslie Pierce	UVA HR	Leslie Pierce	UVA HR	Stephanie Perry	Wise HR

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January 1, 2023

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Threat Assessment	Safety, Security & Environmental	Ed Markowski	Threat Assessment	Ed Markowski	Threat Assessment	Narda Porter	Wise Academic Affairs
Title IX (Athletics)	Governance	Kim Record	Athletics	N/A	N/A	Tabitha Smith	Wise Diversity, Equity & Inclusion
Title IX (Sexual Misconduct - Faculty, Staff, Visitors)	Governance	Meredith Smith	Equal Opportunity & Civil Rights	Meredith Smith	Equal Opportunity & Civil Rights	Tabitha Smith	Wise Diversity, Equity & Inclusion
Title IX (Sexual Misconduct - Students)	Governance	Meredith Smith	Equal Opportunity & Civil Rights	Meredith Smith	Equal Opportunity & Civil Rights	Tabitha Smith	Wise Diversity, Equity & Inclusion
Transplants	Medical Center	N/A	N/A	Rob Teaster	Transplant Service Line	N/A	N/A
UBIT	Finance & Business Operations	Bill Define	Financial Operations	Doug Lischke	Finance	Bill Define	Financial Operations
UPMIFA	Finance & Business Operations	Bill Define	Financial Operations	Doug Lischke	Finance	Bill Define	Financial Operations
UVA Health Plans	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR
UVA Retirement Plans	Human Resource Management	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR	Rebecca Gristina	UVA HR
Veterans - Employment Report (Federal)	Human Resource Management	Rachel Spraker	Equal Opportunity & Civil Rights	McLean Schoonover	UVA HR	Rachel Spraker	Equal Opportunity & Civil Rights
Veterans - Hiring	Human Resource Management	Chris Cunningham	UVA HR	Chris Cunningham	UVA HR	Chris Cunningham	UVA HR
Virginia Personnel Act	Human Resource Management	Leslie Pierce	UVA HR	Leslie Pierce	UVA HR	Leslie Pierce	UVA HR
Workers' Compensation	Human Resource Management	Erica Wheat	UVA HR	Erica Wheat	UVA HR	Rebecca Gristina	UVA HR
Workforce Transition	Human Resource Management	Leslie Pierce	UVA HR	Leslie Pierce	UVA HR	Stephanie Perry	Wise HR
Youth Protection	Safety, Security & Environmental	Meghan Rapp	Clery Compliance	Meghan Rapp	Clery Compliance	Tabitha Smith	Wise Diversity, Equity & Inclusion
Zoning/Land Use	Physical Resource Management	Alice Raucher	University Architect	Kevin Fox	Facilities Management	Alice Raucher	University Architect